NA

04-26-02

PATENTS 103140-0014U



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:)	
Andrew Sutherland et al.)	
Serial No.: 10/075,710)	Examiner: not yet assigned
Filed: February 14, 2002)	Art Unit: 2661
For: PEER-TO-PEER ENTERPRISE STORAGE)	
	,	Cesari and McKenna, LLP 88 Black Falcon Avenue Boston, MA 02210 April 25, 2002

"Express Mail" Mailing-Label Number:

EL736452474 US

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Enclosed in response to the Notice to File Missing Parts of Application-Filing

Date Granted mailed March 12, 2002, is a Declaration for Patent Application executed by
the inventors, along with a check for \$65.00 to cover the surcharge for this Response.

The above-referenced application filed in the Patent and Trademark Office is the application that the inventors executed by signing the enclosed Declaration.

Also enclosed is a substitute set of formal drawing sheets containing corrected versions of Figs. 1-12. The margins on the substitute drawing sheets have been corrected

and should now be in compliance with 37 CFR 1.84. Further, please substitute the enclosed Abstract for the Abstract currently in the application. As required a replacement page is enclosed.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Patricia A. Sheehan

Reg. No. 32,301

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue

Boston, MA 02210-2414

(617) 951-2500

APR 25 2002 By Under the sales

Signature

Patent and Trademark Office/SB/17 (10-01)
Approved for use through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

betwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEETRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Talent root are subject to annual roots on

(\$)

65

		Complete if Known			
	Application Number	10/075,710			
_	Filing Date	February 14, 2002			
	First Named Inventor	Andrew Sutherland et al.			
	Examiner Name	not yet assigned			
	Group Art Unit	2661			
	Attorney Docket No.	103140-0014U			

METHOD OF PAYMENT		FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES Large Entity Small Entity							
Deposit Account 03-1237	Large	⊏ntity	Sma	ali Entit	ту			
Number	Fee Code	Fee (\$)	Fee Code		Fee Description	Fee Paid		
Deposit Account Cesari and McKenna, LLP	105	130	205	65	Fee Surcharge - late filing fee or oath	65		
Name	127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
Charge Any Additional Fee Required Under 37 C F.R. &\$1.16 and 1 17	139	130	139	130	Non-English Specification			
Applicant claims small entity status	147	2,520		2,520	For filing a request for ex parte reexamination			
See 37 CFR 1 27	112	920		920*	Requesting publication of SIR prior to			
2. A Payment Enclosed: Check Credit card Corder Cother	113	1,840			Examiner action *Requesting publication of SIR after			
	┨¨゛	1,040		1,0-10	Examiner action			
FEE CALCULATION	115	110	215	55	Extension for reply within first month			
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month			
Large Entity Small Entity	117	920	217	460	Extension for reply within third month			
Fee Fee Fee Fee Description Fee Paid	118	1,440	218	720	Extenstion for reply within fourth month			
Code (\$) Code (\$) 101 740 201 370 Utility filing fee	128	1,960	128	980	Extension for reply within fifth month			
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal			
l	120	320	220	160	Filing a brief in support of an appeal			
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing			
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding			
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable			
SUBTOTAL (1) (\$) 0	141	1,280	241	640	Petition to revive - unintentional	-		
2. EXTRA CLAIM FEES		1,280	242	640	Utility Issue fee (or reissue)			
Extra Fee from	143	460	243	230	Design Issue fee			
Claims below Fee Paid	144	620	244	310	Plant Issue fee			
Total Claims	122	130	122	130	Petitions to the Commissioner			
Independent - 3** = 0 x = 0	123	50	123	50	Processing fee under 37 CFR 1.17(q)			
Multiple Dependent = 0	126	180	126	180	Submission of Information Disclosure Stmt			
Large Entity Small Entity	581	40	581	40	Recording each patent assignment per			
Fee Fee Fee Fee Fee Description		_			property (times number of properties)			
Code (\$) Code (\$)	146	740	246	370	,			
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	149	740	240	370	(37 CFR 1.129(a)) For each additional invention to be			
104 280 204 140 Multiple dependent claim, if not paid	1 73	,-10	- 13	310	examined (37 CFR 1.129(b))]		
109 84 209 42 **Reissue independent claims over original patent	179	740	279	370	Request for Continued Examination (RCE)			
110 18 210 9 **Reissue claims in excess of 20	169	900	169	900	Request for expedited examination			
and over original patent					of a design application			
SUBTOTAL (2) (\$) 0 **or number previously paid, if greater, For Reissues, see below		r fee (s						
		r fee (s	pecify)				
	*Red	uced by	/ Basi	ic Filing	Fee Paid SUBTOTAL (3) (\$)	65		
SUBMITTED BY Complete (if applicable)								
Name (Print/Type) Patricia A. Sheehan Registra	tion No.	3	2,30	01	Telephone (617) 951-25	500		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Date

April 25, 2002

(Attorney/Agent)



s Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/075,710

02/14/2002

Andrew Sutherland

103140-0014U

24267 CESARI AND MCKENNA, LLP 88 BLACK FALCON AVENUE BOSTON, MA 02210

au msq Parts 4/12/02 Msq Parts Due 5/12/02

CONFIRMATION NO. 8378 **FORMALITIES LETTER**

Date Mailed: 03/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

A copy of this notice <u>MUST</u> be returned with the reply.

04/30/2002 GGEBREGI 00000007 10075710

01 FC:205

65.00 OP